

024 04205

**Request  
for  
Continued Examination (RCE)  
Transmittal**

Application Number	09/872,116
Filing Date	September 27, 2000
First Named Inventor	Kale, Jivendra K.
Art Unit	3524
Examiner Name	COLBERT, ELLA
Attorney Docket Number	019748-000110US

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

- a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
- i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- ii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/ Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other \_\_\_\_\_

a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 8 months; Fee under 37 CFR 1.17(i) required)

b. ☐ Other \_\_\_\_\_

**Fee** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

6. ☒ The Director is hereby authorized to charge the following fee, charge any additional fee(s) or underpayments of fee(s) or credit any overpayments to Deposit Account No. 20-1430. I have enclosed a duplicate copy of this sheet.
- ☒ ACE fee required under 37 CFR 1.17(e) (\$395.00)


L ☒ RCE fee required under 37 CFR 1.17(e) (\$385.00)

790.00 ☒ Extension of time fee (37 CFR 1.136 and 1.17)

- b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed  
 c. ☐ Payment by credit card (Form PTO-2036 enclosed)


**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization of PTO-2036.

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Signature		Date	April 4, 2008
Name (Print /Type)	Ardeehir Talibi	Registration No.	48,760

**CERTIFICATE OF MAILING OR TRANSMISSION**


I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, Fax No. (703) 872-8308 on April 4, 2005.

Signature			
Name (Print /Type)	Diane Hawley	Date	April 4, 2005

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PTO/SB/22 (12-04)

<b>PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)</b>		Docket Number (Optional) 019748-000110US	
FY 2005 (Fees pursuant to the Consolidated Appropriations Act, 2005 (P.L. 109-105))			
Application Number 09/672,116		Filed September 27, 2000	
For INVESTMENT PORTFOLIO CONSTRUCTION METHOD AND SYSTEM			
Art Unit 3824		Examiner COLBERT, ELLA	
This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.			
The requested extension and fee are as follows (check time period desired and enter the appropriate fee below):			
	<b>Fee</b>	<b>Small Entity Fee</b>	
<input checked="" type="checkbox"/> One month (37 CFR 1.17(a)(1))	\$120	\$80	\$ 60
<input type="checkbox"/> Two months (37 CFR 1.17(a)(2))	\$450	\$225	\$
<input type="checkbox"/> Three months (37 CFR 1.17(a)(3))	\$1020	\$510	\$
<input type="checkbox"/> Four months (37 CFR 1.17(a)(4))	\$1590	\$795	\$
<input type="checkbox"/> Five months (37 CFR 1.17(a)(5))	\$2160	\$1080	\$
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.			
<input type="checkbox"/> A check in the amount of the fee is enclosed.			
<input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.			
<input checked="" type="checkbox"/> The Director has already been authorized to charge fees in this application to a Deposit Account.			
<input checked="" type="checkbox"/> The Director is hereby authorized to charge any fee which may be required, or credit any overpayment, to Deposit Account Number <u>20-1430</u> . I have enclosed a duplicate copy of this sheet.			
WARNING: Information on this form may become public. Credit card information should not be included on this form.			
04/07/2005 SMORELAN 00000000-201480 Inform 09/672,116 and authorization on PTO-2038.			
60.00 DA I am the <input type="checkbox"/> applicant/inventor.			
<input type="checkbox"/> assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed (Form PTO/SB/86).			
<input checked="" type="checkbox"/> attorney or agent of record. Registration Number <u>48,750</u>			
<input type="checkbox"/> attorney or agent under 37 CFR 1.34. Registration number if acting under 37 CFR 1.34 _____			
 Signature		April 4, 2005 Date	
Ardeshir Tabibi, Reg. No. 48,750 Typed or printed name		(860) 828-2400 Telephone Number	
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.			
<input checked="" type="checkbox"/> Total of <u>2</u> forms are submitted.			

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